

DAGSI OPERATING PROCEDURE OP-04 INTELLECTUAL PROPERTY POLICY

I. GENERAL

This Operating Procedure defines the policies and procedures governing the ownership, processing and disposition of Intellectual Property which may be created during the course of DAGSI-sponsored research work, through its scholarship programs, or during other research programs it may sponsor, in whole or in part. DAGSI Intellectual Property (IP) policy, is, in essence, an extension of its Home Institution concepts and policies, and of its overall goal to leverage existing arrangements, to the maximum extent practical.

In no case whatsoever will DAGSI attempt to take ownership of Intellectual Property generated under its sponsorship, or to control the handling, processing, publication or distribution of such property. All such matters shall be the responsibility of the designated Home Institution, or in the case of DAGSI supported research projects or programs, the Lead Institution, as determined by the DAGSI partners. Analogous to the Home Institution concept, the intellectual property policy and procedures of the selected Lead Institution shall prevail, absent any specific negotiated IP agreement between the project or program participants.

II. THE HOME INSTITUTION CONCEPT

The Home Institution, by definition, is the DAGSI partner institution (AFIT, UD or WSU) which will award the degree sought by the DAGSI student, upon successful completion of its degree requirements. All thesis and dissertation credits must be taken at the Home Institution, and the chair of the DAGSI student's research committee shall be a member of the graduate engineering faculty of the Home Institution. The Home Institution will likely arrange for and/or provide the research facilities, equipment, supplies, technicians and administrative support needed by the DAGSI student to complete the research requirements of his or her approved program of study.

In consideration of this concept, of the support provided by the Home Institution, and of DAGSI's overall goals, all DAGSI scholarship students shall be required to comply with the intellectual property policy of the Home Institution. Further, the Home Institution standards and regulations shall prevail in the preparation, review, release, scheduling, publication and defense of any thesis or dissertation resulting from DAGSI sponsorship.

III. SPECIFIC POLICY AND PROCEDURES

In addition to the general policy and procedures described above, the following specific guidelines apply:

- A. Any intellectual property created by a DAGSI scholarship recipient or other researcher under DAGSI sponsorship shall be owned by the Home Institution or Lead Institution, absent any specifically negotiated intellectual property policy agreement covering the research.
- B. With respect to ownership in copyright of theses, dissertations, and any other technical papers produced under DAGSI sponsorship (including sponsorship via DAGSI's full tuition and/or stipend awards) the policies and procedures of the Home Institution shall prevail.
- C. With respect to any other restrictions of any kind on publications of any kind produced under DAGSI sponsorship (including sponsorship via the award of full tuition scholarships and/or stipends), the policies and procedures of the Home Institution shall prevail.

IV. ENFORCEMENT

DAGSI shall prepare a certification of compliance to be signed by each DAGSI scholarship recipient stating that the recipient understands and will comply with the intellectual property policy of the selected Home Institution.

V. DISPUTES

DAGSI shall be responsible for the maintenance and implementation of this Intellectual Property Policy. Any questions or disputes involving this policy should be directed to the Director, DAGSI.